

012804  
22783 U.S. PTO

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

14846-44

First Inventor

Magennis, et al.

Title

SETUID-FILTER METHOD FOR PROVIDING

Express Mail Label

ET307105114USX

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original, and a duplicate for fee processing)*
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification *[Total Pages* **11**]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) *[Total Sheets* **8**]
- Oath or Declaration *[Total Pages* **3**]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)    of prior application No.: \_\_\_\_\_

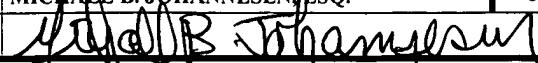
Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

|                          |                  |  |    |   |
|--------------------------|------------------|--|----|---|
| <input type="checkbox"/> | Customer Number: |  | or | <input type="checkbox"/> Correspondence address below |
|--------------------------|------------------|--|----|---|

|         |                             |           |              |          |
|---------|-----------------------------|-----------|--------------|----------|
| Name    | <b>DOCKET ADMINISTRATOR</b> |           |              |          |
|         | LOWENSTEIN SANDLER PC       |           |              |          |
| Address | 65 LIVINGSTON AVENUE        |           |              |          |
| City    | ROSELAND                    | State     | NJ           | Zip Code |
| Country | UNITED STATES               | Telephone | 973-597-2500 | Fax      |

|                   |   |                                   |        |
|-------------------|---|-----------------------------------|--------|
| Name (Print/Type) | MICHAEL B. JOHANNESEN, ESQ.   | Registration No. (Attorney/Agent) | 35,557 |
| Signature         |  |                                   | Date   |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)  
**\$810.00**

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   |                  |
| Filing Date          |                  |
| First Named Inventor | Magennis, et al. |
| Examiner Name        |                  |
| Art Unit             |                  |
| Attorney Docket No.  | 14846-44         |

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None  
 Deposit Account:

Deposit Account Number **501358**  
 Deposit Account Name **LOWENSTEIN SANDLER PC**

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code (\$)          | Fee Code (\$) | Fee Description | Fee Paid |
|--------------|--------------|------------------------|---------------|-----------------|----------|
| 1001 750     | 2001 375     | Utility filing fee     |               | 770.00          |          |
| 1002 330     | 2002 165     | Design filing          |               |                 |          |
| 1003 520     | 2003 260     | Plant filing fee       |               |                 |          |
| 1004 750     | 2004 375     | Reissue filing         |               |                 |          |
| 1005 160     | 2005 80      | Provisional filing fee |               |                 |          |
| SUBTOTAL (1) |              | (\$)                   |               | <b>\$770.00</b> |          |

## 2. EXTRA CLAIM FEES FOR UTILITY AND

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
|                    | -20** =      | 0 X            | = 0.00   |
| Independent Claims | - 3** =      | 0 X            | = 0.00   |
| Multiple Dependent |              |                |          |

| Large Entity  | Small Entity  | Fee Description  |               |
|---------------|---------------|--|---------------|
| Fee Code (\$) | Fee Code (\$) |  |               |
| 1202 18       | 2202 9        | Claims in excess of 20                                     |               |
| 1201 84       | 2201 42       | Independent claims in excess of 3                          |               |
| 1203 280      | 2203 140      | Multiple dependent claim, if not paid                      |               |
| 1204 84       | 2204 42       | ** Reissue independent claims over original patent         |               |
| 1205 18       | 2205 9        | ** Reissue claims in excess of 20 and over original patent |               |
| SUBTOTAL (2)  |               | (\$)   | <b>\$0.00</b> |

\*\*or number previously paid, if greater; For Reissues, see above

| 3. ADDITIONAL FEES  | Large Entity  | Small Entity   | Fee Description | Fee Paid |
|---------------------|---------------|--|-----------------|----------|
| Fee Code (\$)       | Fee Code (\$) |  |                 |          |
| 1051 130            | 2051 65       | Surcharge - late filing fee or oath  |                 |          |
| 1052 50             | 2052 25       | Surcharge - late provisional filing fee or cover sheet                     |                 |          |
| 1053 130            | 1053 130      | Non - English specification  |                 |          |
| 1812 2,520          | 1812 2,520    | For filing a request for ex parte reexamination                            |                 |          |
| 1804 920*           | 1804 920*     | Requesting publication of SIR prior to Examiner action                     |                 |          |
| 1805 1,840*         | 1805 1,840*   | Requesting publication of SIR after Examiner action                        |                 |          |
| 1251 110            | 2251 55       | Extension for reply within first month                                     |                 |          |
| 1252 410            | 2252 205      | Extension for reply within second month                                    |                 |          |
| 1253 930            | 2253 465      | Extension for reply within third month                                     |                 |          |
| 1254 1,450          | 2254 725      | Extension for reply within fourth month                                    |                 |          |
| 1255 1,970          | 2255 985      | Extension for reply within fifth month                                     |                 |          |
| 1401 320            | 2401 160      | Notice of Appeal   |                 |          |
| 1402 320            | 2402 160      | Filing a brief in support of an appeal                                     |                 |          |
| 1403 280            | 2403 140      | Request for oral hearing   |                 |          |
| 1451 1,510          | 1451 1,510    | Petition to institute a public use proceeding                              |                 |          |
| 1452 110            | 2452 55       | Petition to revive - unavoidable   |                 |          |
| 1453 1,300          | 2453 650      | Petition to revive - unintentional   |                 |          |
| 1501 1,300          | 2501 650      | Utility issue fee (or reissue)   |                 |          |
| 1502 470            | 2502 235      | Design issue fee   |                 |          |
| 1503 630            | 2503 315      | Plant issue fee  |                 |          |
| 1460 130            | 1460 130      | Petitions to the Commissioner  |                 |          |
| 1807 50             | 1807 50       | Processing fee under 37 CFR § 1.17(q)                                      |                 |          |
| 1806 180            | 1806 180      | Submission of Information Disclosure Statement                             |                 |          |
| 8021 40             | 8021 40       | Recording each patent assignment per property (times number of properties) |                 | 40.00    |
| 1809 750            | 2809 375      | Filing a submission after final rejection (37 CFR § 1.129(a))              |                 |          |
| 1810 750            | 2810 375      | For each additional invention to be examined (37 CFR § 1.129(b))           |                 |          |
| 1801 750            | 2801 375      | Request for Continued Examination (RCE)                                    |                 |          |
| 1802 900            | 1802 900      | Request for expedited examination of a design application                  |                 |          |
| Other fee (specify) |               |  |                 |          |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

**\$40.00**

## SUBMITTED BY

Complete (if applicable)

|                   |                             |                                   |                  |           |              |
|-------------------|-----------------------------|-----------------------------------|------------------|-----------|--------------|
| Name (Print/Type) | MICHAEL B. JOHANNESEN, ESQ. | Registration No. (Attorney/Agent) | 35,557           | Telephone | 973-597-2500 |
| Signature         |                             | Date                              | JANUARY 23, 2004 |           |              |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT APPLICATION TRANSMITTAL LETTER  
(Large Entity)

Docket No.  
14846-44

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

**GERARD MAGENNIS & THOMAS BUCHENDORFER**

For: **SETUID-FILTER METHOD FOR PROVIDING SECURE ACCESS TO A CREDENTIALS STORE FOR COMPUTER SYSTEMS**

Enclosed are:

- Certificate of Mailing with Express Mail Mailing Label No.  
 8 sheets of drawings.  
 A certified copy of a application.  
 Declaration       Signed.       Unsigned.  
 Power of Attorney  
 Information Disclosure Statement  
 Preliminary Amendment  
 Other:

**CLAIMS AS FILED**

| For   | #Filed                   | #Allowed | #Extra | Rate                    | Fee             |
|---|--------------------------|----------|--------|-------------------------|-----------------|
| Total Claims                                    | 8                        | - 20 =   | 0      | x \$18.00               | \$0.00          |
| Indep. Claims                                   | 2                        | - 3 =    | 0      | x \$86.00               | \$0.00          |
| Multiple Dependent Claims (check if applicable) | <input type="checkbox"/> |          |        |                         | \$0.00          |
|   |                          |          |        | <b>BASIC FEE</b>        | <b>\$770.00</b> |
|   |                          |          |        | <b>TOTAL FILING FEE</b> | <b>\$770.00</b> |

- A check in the amount of to cover the filing fee is enclosed.  
 The Director is hereby authorized to charge and credit Deposit Account No. 501358 as described below.  
     Charge the amount of \$770.00 as filing fee.  
     Credit any overpayment.  
     Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.  
     Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: 1/28/2004

  
Signature  
MICHAEL B. JOHANNESEN, ESQ.

REG. NO: 35,557  
ATTONEYS FOR APPLICANT  
LOWENSTEIN SANDLER PC  
65 LIVINGSTON AVENUE  
ROSELAND, NJ 07068  
973-597-2500

cc:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s):

Docket No.

14846-44

Serial No.

Filing Date

January 28, 2004

Examiner

Group Art Unit

Invention: **SETUID-FILTER METHOD FOR PROVIDING SECURE ACCESS TO A CREDENTIALS STORE FOR COMPUTER SYSTEMS**

I hereby certify that the following correspondence:

**Utility Patent Application Transmittal with Fee Authority; Non-Provisional Patent Application; Drawings Figs.; Unexecuted Declaration and Power of Attorney ; Certificate of Mailing by Express Mail bearing no: ET 307105114 US***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

January 28, 2004*(Date)***CAMILLE PAYNE***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*ET 307105114 US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**